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भारतीय आयुर्विज्ञान अनुसंधान परिषद स्वास्थ्य अनुसंधान विभाग, स्वास्थ्य एवं परिवार कल्याण मंत्रालय, भारत सरकार

Indian Council of Medical Research
Department of Health Research, Ministry of Health
and Family Welfare, Government of India

#### No.E16/70/2022/E.Office143135

Dated:22/08/2025

To

The Directors/Directors-in-charge of All ICMR Institutes/Centers as well as ICMR HQ.

#### Subject: Strict adherence to the DoPT guidelines on Annual Performance Report (APAR)-reg.

It has been observed by the members of the various DPC Committees constituted for promotion of different cadres of employees of ICMR at Hqrs./Institutes/Centres that the numerical grading being awarded to the employees in the APARs are not conforming to the laid down guidelines of the DoPT OM dated 23<sup>rd</sup> July 2009 (copy enclosed).

- 2. The numeric grading of 1 or 2 (against work output or attributes or overall grade) would be <u>adequately justified in the pen-picture</u> by way of specific failures and similarly, any grade of 9 or 10 would be <u>justified with respect to specific accomplishments</u>. Since the Grades of 1-2 or 9-10 are expected to be of rare occurrences, these need to be justified suitably and adequately.
- 3. The Competent Authority has, therefore, desired the strict adherence of the provisions stipulated under DoPT OM dated 23rd July, 2009, especially Point (ii) under Annexure –II of the OM.

Yours faithfully

Digitally signed by Jaibir Singh Date: 22-এ৪:2002

Assistant Director: Sement (Admin.)

#### Encl: As above

Copy to:

- PS to DG/Addl. DG/Sr. DDG(A)/Sr. FA
- 2. All Heads of Divisions/Sections of ICMR Hqrs.
- 3. DDG(A)/ ADGs
- 4. All Sr. AOs/Sr. ACOs/AOs/ACOs
- 5. Dr. M.S. Chalga, Sci-D with request to upload the same in ICMR website

No. 12021/1/2016-ISS [E-12489] भारत सरकार/ Government of India सांख्यिकी और कार्यक्रम कार्यान्वयन मंत्रालय Ministry of Statistics & Programme Implementation (एपीएआर अनुभाग/APAR Section)

> खुर्शीद लाल भवन/Khurshid Lal Bhawan, जनपथ, नई दिल्ली/ Janpath, New Delhi दिनांक/ Dated:27:03.2025

#### कार्यालय ज्ञापन/OFFICE MEMORANDUM

Subject: Adherence to DoPT guidelines for Annual Performance Assessment Report (APAR)-reg.

The undersigned is directed to refer to Department of Personnel and Training O.M. No. 21011/1/2055-Estt. (A) (Pt-II) dated 23rd July, 2009 (copy enclosed) and subsequent circulars issued by DoPT time to time regarding Annual Performance Assessment Reports (APAR).

2. All ISS officers and Nodal Officers for APAR in MoSPI as well as other Participating Ministry/Departments/Organisations are required to ensure <u>strict</u> adherence to the DoPT guidelines regarding the APAR.

Encl.: As above.

Suncel Courar

(Suneel Kumar)
Deputy Director (APAR/ISS)
Ph: 011-23455345

To

- 1. The PAR Central Custodian/PAR Manager of MoSPI/other Ministries/Departments/Organisations (through official website of MoSPI).
- 2. All ISS officers (through official website of MoSPI/e-office).

#### Copy to:

- 1. Director, DIID, MoSPI, via e-mail with request to place on the official website of the Ministry.
- 2. NIC team for upload on e-office.

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# No. 21011/1/2005-Estt (A) (Pt-II) Government of India Ministry of Personnel, Public Grievances and Pensions (Department of Personnel and Training)

North Block, New Delhi, 23<sup>rd</sup> July, 2009

#### OFFICE MEMORANDUM

Subject:- Preparation and maintenance of Annual Performance Assessment Reports (APAR).

The undersigned is directed to invite the attention of the Ministries/Departments to the instructions contained in this Department's O.M. No. No. 21011/02/2009-Estt.(A) 16/02/2009 and O.M. of even umber dated 14.05.2009 on the subjects of timely preparation and proper maintenance of APAR and making the APAR transparent for representation for upgradation, if any, by the officer reported upon. The matter of preparation and maintenance of APAR has been further reviewed in this Department keeping in view the system in this regard in respect of All India Services (AIS) and the undersigned is directed to convey the following decisions:-

- (i) All cadre authorities shall include a box in the APAR for reflecting by the reporting officer the pen picture of the officer reported upon where the reporting officer will be required to indicate his comments on the overall qualities of the officer including areas of strengths and lesser strength and his attitude towards the weaker sections. A column will also be added in the section relating to the reviewing authority for giving the reviewing authority's remarks on the pen picture reflected by the reporting officer. There will be no other separate column in the APAR for overall assessment apart from the pen picture.
- (ii) A provision may be made in the APAR in the relevant section for remarks by the reviewing officer to indicate specifically the differences, if any, with the assessment made by the reporting officer, and the reasons therefor.
- (iii)Numerical grading are to be awarded by reporting and reviewing authorities for the quality of work output, personal attributes and functional competence of the officer reported upon. These should be on a scale of 1-10, where 1 refers to the lowest grade and 10 to the highest. The guidelines given in Annexure-I shall be kept in mind while awarding numerical gradings.
- (iv) The format for the purpose of numerical grading in the three areas of work output, assessment of personal attributes and assessment of functional competency is in Annexure-II. For Group 'B' and 'C' officials however, suitable changes may be made by the concerned cadre authorities in the items of assessment as per functional requirements of the job and the next promotional post for them. The overall grade on a score of 1-10 will be based on 40% weightage on assessment of work output, and 30% each for assessment of personal attributes and functional competency. The overall grading will be based

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- (v) Wherever 'accepting authority' has been prescribed in the existing system in the cadre, columns may also be provided for such authority to give his comments on the remarks of the reporting/reviewing authority and details of difference of opinion, if any, with reasons for the same. In such cases, the accepting authority will also give overall grade on a score of 1-10.
- (vi) A schedule for completion of all activities relating to the APAR is given in Annexure-III.
- 2. The above provisions would be applicable for the APAR from the reporting year 2009-10 onwards. The concerned authorities may accordingly make necessary changes in the APAR format for numerical grading to be given by reporting and reviewing officer. This O.M. does not in any way affect the part to be filled in by the officer reported upon and other existing columns in the APAR format like attitude towards SC/ST/OBC, relations with public (wherever applicable), integrity, training requirement etc. for descriptive remarks.
- 3. All Ministries/Departments are requested to bring to the notice of all the offices under them for strict implementation of the above instructions.

(C.A. Subramanian)

Director

To

## All Ministries/Departments of Government of India

#### Copy to:-

- 1. Chief Secretaries of All State Governments/U.T.s
- 2. The President's Secretariat, New Delhi.
- 3. The Prime Minister's Office, New Delhi.
- 4. The Cabinet Secretariat, New Delhi.
- 5. The Rajya Sabha Secretariat.
- 6. The Lok Sabha Secretariat.
- 7. The Comptroller and Auditor General of India, New Delhi.
- 8. The Union Public Service Commission, New Delhi.

#### Copy also to:-

- (i) All Attached offices under the Ministry of Personnel, Public Grievances and Pensions.
- (ii) Establishment Officer and Secretary, ACC (10 copies).
- (iii) All officers and Sections in the Department of Personnel and Training.
- (iv) Secretary, Staff Side, National Council (JCM), 13-C, Ferozeshah Road, New Delhi.
- (v) All Staff Members of Departmental Council (JCM).
- (vi) All Staff members of the Departmental Council (JCM), Ministry of Personnel, Public Grievances and Pensions.

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# Annexure-I Guidelines regarding filling up of APAR with numerical grading

(i) The columns in the APAR should be filled with due care and attention and after devoting adequate time.

(ii) It is expected that any grading of 1 or 2 (against work output or attributes or overall grade) would be adequately justified in the pen-picture by way of specific failures and similarly, any grade of 9 or 10 would be justified with respect to

specific accomplishments. Grades of 1-2 or 9-10 are expected to be rare occurrences and hence the need to justify them. In awarding a numerical grade the reporting and reviewing authorities should rate the officer against a larger population of his/her peers that may be currently working under them.

(iii)APARs graded between 8 and 10 will be rated as 'outstanding' and will be given

for the purpose of calculating average

- (iv)APARs graded between 6 and short of 8 will be rated as 'very good' and will be given a score of 7.
- (v) APARs graded between 4 and 6 short of 6 will be rated as 'good' and given a score of 5.

empanelment/promotion.

#### Annexure II

# Assessment of work output (weightage to this Section would be 40%)

	Reporting Authority	Reviewing Authority	Initial of Reviewing Authority
i)Accomplishment of planned work/work allotted as per subjects allotted			
ii) Quality of output			
iii) Analytical ability			
(iv)Accomplishment of exceptional work / unforeseen tasks performed			
Overall Grading on 'Work Output'			

# Assessment of personal attributes (weightage to this Section would be 30%)

	Reporting Authority	Reviewing Authority	Initial of Reviewing
i) Attitude to work			Authority
ii) Sense of responsibility			
iii) Maintenance of Discipline			
iv) Communication skills			
v) Leadership qualities			
vi) Capacity to work in team spirit			
vii) Capacity to work in time limit			
viii) Inter-personal relations	5		
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## Assessment of functional competency (weightage to this Section would be 30%)

	Reporting Authority	Reviewing Authority	Initial of Reviewing Authority
i) Knowledge of Rules / Regulations /Procedures in the area of function and ability to apply them correctly.			
ii) Strategic planning ability			
iii) Decision making ability			
iv) Coordination ability		·	:
v) Ability to motivate and develop subordinates		,	
	6		
Overall Grading on functional COMPETER Syffice by SUMIT, UDC(SUMIT), UDC(SUMIT), UDC(SUMIT), ICMR	on 26/08/2025 10:05	am	

#### Annexure-III

# Time schedule for preparation/completion of APAR (Reporting year- Financial year)

S.No.	Activity	(This may be completed even a week earlier).		
1.	Distribution of blank APAR forms to all concerned (i.e., to officer to be reported upon where self-appraisal has to be given and to reporting officers where self-appraisal is not to be given)			
2.	Submission of self-appraisal to reporting officer by officer to be reported upon (where applicable).	15 <sup>th</sup> April.		
3.	Submission of report by reporting officer to reviewing officer	30 <sup>th</sup> June		
4.	Report to be completed by Reviewing Officer and to be sent to Administration or CR Section/Cell or accepting authority, wherever provided.			
5.	Appraisal by accepting authority, wherever provided	31 <sup>st</sup> August		
6.	(a) Disclosure to the officer reported upon where there is no accepting authority	01 <sup>st</sup> September		
	(b) Disclosure to the officer reported upon where there is accepting authority	15 <sup>th</sup> September		
7.	Receipt of representation, if any, on APAR	15 days from the date of receipt of communication		
8.	Forwarding of representations to the competent authority			
	(a) where there is no accepting authority for APAR	21 <sup>st</sup> September		
	(a) where there is accepting authority for APAR	06 <sup>th</sup> October		
9.	Disposal of representation by the competent authority	Within one month from the date of receipt of representation.		
10.	Communication of the decision of the competent authority on the representation by the APAR Cell	15 <sup>th</sup> November		
	End of entire APAR process, after 70/10/11/10/11/11/11/11/11/11/11/11/11/11	30 <sup>th</sup> November		